PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Act of 1995, no persons collection of information unless it displays a valid OMB control number. **Application Number** 10/828,730 Filing Date April 21, 2004 John R. Rice First Named Inventor Art Unit 3737 (to be used for all correspondence after initial filing) **Examiner Name** N/A Attorney Docket Number 35243.00.0007 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information Provisional Application** After Final **Declaration and Power of Attorney** Status Letter Affidavits/declaration(s) Other Enclosure(s) (please **Terminal Disclaimer** Identify below): **Extension of Time Request** Postcard

Request for Refund -Cover Letter Express Abandonment Request copies of foreign references CD, Number of CD(s) **IDS Cover Sheet** Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Robert S. Beiser, Reg. No. 28,687 Individual name Signature Date August 24, 2004

CERTIFICATE OF TRANSMISSION/MAILING

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/828,730		
Filing Date	April 21, 2004		
First Named Inventor	John R. Rice		
Art Unit	3737		
Examiner Name	N/A		
Attorney Docket Number	35243 00 0007		

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4,443,893	04-24-1984	Yamamoto	
		<sup>US-</sup> 4,996,981	03-05-1991	Elenewski, et al.	
		US- 4,150,443	04-24-1979	McNeilly	
		<sup>US-</sup> 5,533,500	07-09-1996	Her-Mou	
		<sup>US-</sup> 6,257,235	07-10-2001	Bowen	
		<sup>US-</sup> 6,047,411	04-11-2000	Ryden, et al.	
		US- 3,825,953	07-30-1974	Hunter	
		US- 5,452,480	09-26-1995	Ryden	
		<sup>US-</sup> 5,148,550	09-22-1992	Hodgkinson, et al.	
		<sup>US-</sup> 6,094,751	08-01-2000	Parks	
		<sup>US-</sup> 5,428,688	06-27-1995	Becker, et al.	
		<sup>US-</sup> 5,371,804	12-06-1994	Bauer	
		<sup>US-</sup> 2002/0166159	11-14-2002	Kawanishi, et al.	
		us- 6,363,528	04-02-2002	Cyr	
		us- 6,038,707	03-21-2000	Ryden, et al.	
		<sup>US-</sup> 5,966,746	10-19-1999	Reedy, et al.	
		US-			
		US-			
		US-			

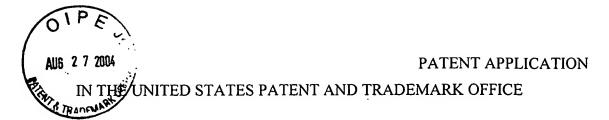
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	_
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		DE 28 21 121 B1	05-13-1978	HOPPE, Gert		
		WO 95/13689 A1	05-18-1995	Actron Manufg. Co.		~
		GB 2 165 721 A	04-16-1986	Dickinson, Charles		~
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Examiner	Da	ate	·
Signature	Co	onsidered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Applicant:

John Ronald Rice

Art Unit: 3737

Examiner: N/A

Serial No.:

10/828,730

Attorney Docket No.: 35243.00.0007

Filing Date:

April 21, 2004

Title: **GOGGLES** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of First Class Mailing

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22313-1450, on this date.

## INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 C.F.R. §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

- 1. A list of documents; and
- 2. General remarks.

Copies of the listed foreign documents are enclosed herewith along with Form PTO/SB/08A and PTO/SB/21.

## REMARKS

No item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement and before the issuance of a first office action and the information received was in connection with a foreign counterpart application. As such, there is no fee due for the filing of such information disclosure statement.

The submission of the above documents is not an admission that the information

is prior art, analogous or otherwise material. This statement is provided in order to

comply with 37 C.F.R. §1.56, §1.97 and §1.98, and this statement is not to be construed

as a representation that no information exists which is more material than these patents.

Because Applicants have complied with all of the requirements of the above-

noted Code of Federal Regulations Sections, Applicants herewith request that the listed

prior art be cited in the patent to issue upon the present application. The Commissioner is

hereby authorized to charge Deposit Account 22-0259 if any fees may be required.

Respectfully submitted,

Date: 8/24/04

Robert S. Beiser

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